

Please type a plus sign (+) inside this box ☐

PTO/SB/05 (03/01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	<b>Attorney Docket Number</b> <b>SHPR-01361US7</b>	
	<b>Inventor</b> <b>Shek Fai Lau et al.</b>	
	<b>Title</b>	<b>Electrode Cleaner for Use with Electro-Kinetic Air Transporter-Conditioner Device</b>
	<b>Express Mail Label No.</b> <b>EV 326 481 532 US</b>	

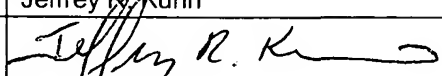
<b>APPLICATION ELEMENTS</b> See MPEP Chapter 600 concerning Utility Patent Application Contents	<b>ADDRESS TO:</b> Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/17) (in duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status (See 37 CFR 1.27)</p> <p>3. <input checked="" type="checkbox"/> Specification Total Pages <u>32</u> (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>■ Descriptive Title of the invention</li><li>■ Cross Reference to Related Applications</li><li>■ Statement Regarding Fed Sponsored R &amp; D</li><li>■ Reference to Sequence Listing, a table or computer program listing Appendix</li><li>■ Background of the Invention</li><li>■ Brief Summary of the Invention</li><li>■ Brief Description of the Drawing(s) (if filed)</li><li>■ Detailed Description</li><li>■ Claim(s) <u>33</u></li><li>■ Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets <u>14</u></p> <p>5. Oath or Declaration Total Pages <u>2</u></p> <p>a. <input type="checkbox"/> Newly Executed</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 <u>4</u> Total Pages</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above</p>	<b>ACCOMPANYING APPLICATION PARTS</b> <p>9. <input type="checkbox"/> Assignment Papers (Cover Sheet &amp; Document(s)) _ Total Pages</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement <u>1</u> IDS ((IDS)/PTO-1449) <u>9</u> Total Pages Citation</p> <p>13. <input type="checkbox"/> Preliminary Amendment _ Total Pages</p> <p>14. <input checked="" type="checkbox"/> Return Postcard, specifically itemized (MPEP 503)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other</p>

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09 / 924,624

Prior application information: Examiner: Thao T. Tran Group/Art Unit: 1711

For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>			
<input checked="" type="checkbox"/> Customer Number 23910 or <input type="checkbox"/> Correspondence Address below			
<b>NAME</b>		Fliesler Dubb Meyer & Lovejoy LLP	
<b>ADDRESS</b>		Four Embarcadero Center, Fourth Floor	
<b>CITY</b>	San Francisco	<b>STATE</b>	CA
<b>ZIP CODE</b>	94111		
<b>COUNTRY</b>	USA	<b>TELEPHONE</b>	415/362-3800
<b>FAX</b>	415/362-2928		
<b>Name (Print/Type)</b>	Jeffrey R. Kurin	<b>Registration No. (Attorney/Agent)</b>	41,132
<b>Signature</b>		<b>Date</b>	August 18, 2003

03944 U.S. PTO

10/642927



08/18/03



2003

# FEE TRANSMITTAL

## TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$)**1,068.00**

### Complete if Known

Application Number: **Divisional of 09/924,624**  
Filing Date: **August 18, 2003**  
Inventor: **Shek Fai Lau et al.**  
Group Art Unit: **1711**  
Examiner Name: **Thao T. Tran**  
Attorney Docket Number: **SHPR-01361US7**



### METHOD OF PAYMENT

#### 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.<sup>†</sup>
- ☐ Applicant claims small entity status. See 37 CFR 1.27.

Deposit Account Number: **06-1325**  
Deposit Account Name: **Fliesler Dubb Meyer & Lovejoy**

2. ☒ **Payment Enclosed:**  
[X] Check [ ] Other

### FEE CALCULATION (fees effective 10/1/01)

#### 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1001/\$750	2001/\$375	Utility Filing	<b>750</b>
1002/\$330	2002/\$165	Design Filing	
1004/\$750	2004/\$375	Reissue	
1005/\$160	2005/\$80	Provisional Filing	

**SUBTOTAL (1) (\$)**750****

#### 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
1202/\$18	2202/\$9	Claims in excess of 20
1201/\$84	2201/\$42	Independent claims in excess of 3
1203/\$280	2203/\$140	Multiple dependent claim
1204/\$84	2204/\$42	Reissue independent claims over original patent
1205/\$18	2205/\$9	Reissue claims in excess of 20 and over original patent

#### 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1051/\$130	2051/\$65	Surcharge - late filing fee or oath	
1052/\$50	2052/\$25	Surcharge-late provisional filing fee or cover sheet	
1812/\$2,520	1812/\$2,520	For filing a request for reexamination	
1251/\$110	2251/\$55	Extension for response within first month <sup>†</sup>	
1252/\$410	2252/\$205	Extension for response within second month <sup>†</sup>	
1253/\$930	2253/\$465	Extension for response within third month <sup>†</sup>	
1254/\$1,450	2254/\$725	Extension for response within fourth month <sup>†</sup>	
1255/\$1,970	2255/\$985	Extension for response within fifth month <sup>†</sup>	
1401/\$320	2401/\$160	Notice of Appeal	
1453/\$1,300	2453/\$650	Petition to revive unintentionally abandoned application	
1501/\$1,300	2501/\$650	Utility Issue Fee (Or Reissue)	
1502/\$470	2502/\$235	Design Issue Fee	
1460/\$130	1460/\$130	Petitions to the Commissioner	
1814/\$110	2814/\$55	Statutory Disclaimer	
1806/\$180	1806/\$180	Submission of Information Disclosure Statement	
8021/\$40	8021/\$40	Recording each patent assignment per property (times number of properties)	
1809/\$750	2809/\$375	Filing a submission after final rejection (37 CFR 1.129(a))	
1801/\$750	2801/\$375	Request for Continued Examination (RCE)	

Other fee (specify):

Other fee (specify):

**SUBTOTAL (3) (\$)**0****

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due	
For	No. of Existing Claims		Highest No. Previously Paid For		Extra**				
TOTAL	33	minus*	20 or	=	13	x	18	=	234
INDEP	4	minus*	3 or	=	1	x	84	=	84
[ ] First presentation of multiple dependent claim									
								=	0

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

**SUBTOTAL (2) (\$)**318****

### SUBMITTED BY

Typed or Printed Name: **Jeffrey R. Kurin**

Signature:

### Complete (if applicable)

Reg. Number: **41,132**

Date: **August 18, 2003**